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Substitute for form 1449B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 2

### Complete if Known

Application Number	09/802,026
Filing Date	March 8, 2001
First Named Inventor	Robert R. Champion
Group Art Unit	2161
Examiner Name	To Be Assigned
Attorney Docket Number	1912-001

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JUN 15 2001

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### OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
MS		Morningstar.com - Exchange-Traded Funds: What you Should Know (available from the Internet at <a href="http://news.morningstar.com/doc/article/0,1,3503,00.htm">http://news.morningstar.com/doc/article/0,1,3503,00.htm</a> ) by CHRISTOPHER J. TRAUlsen; 08/24/00.	
		Morningstar.com-Exchange-Traded Funds: Making Sense of the New Zoo (available from the Internet at <a href="http://morningstar.netscape.com/doc/article/0,1,3838,00.htm">http://morningstar.netscape.com/doc/article/0,1,3838,00.htm</a> ); by CHRISTOPHER J. TRAUlsen; 10/25/00.	
		Indexing Basics -(available from the Internet at <a href="http://www.indexfundsonline.com/basic.htm">http://www.indexfundsonline.com/basic.htm</a> ).	
MS		Morningstar.com-Exchange-Traded Funds: Are They Right for You? (available from the Internet at <a href="http://news.morningstar.com/doc/article/0,1,3558,00.htm">http://news.morningstar.com/doc/article/0,1,3558,00.htm</a> ) by CHRISTOPHER J. TRAUlsen; 10/25/00.	

Examiner Signature		Date Considered	1/6/02
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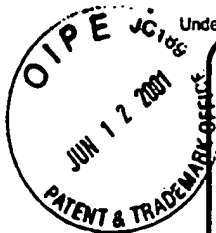
\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

1

of

2

### Complete if Known

Application Number	09/802,026
Filing Date	March 8, 2001
First Named Inventor	Robert R. Champion
Group Art Unit	2161
Examiner Name	TBA
Attorney Docket Number	1912-001

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### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
[Signature]		6,154,732		Tarbox	11/28/2000	
		6,088,685		Kiron et al	07/11/2000	
		6,064,986		Edelman	05/16/2000	
		6,061,661		Hagan	05/09/2000	
		5,875,437		Atkins	02/23/1999	
		5,852,811		Atkins	12/22/1998	
		5,809,484		Mottola et al.	09/15/1998	
		5,806,048		Kiron et al	09/08/1998	
		5,784,696		Melnikoff	07/21/1998	
		5,774,881		Friend et al	06/30/1998	
		5,644,727		Atkins	07/01/1997	
		5,220,500		Baird et al.	06/15/1993	
		5,126,936		Champion et al	06/30/1992	
		4,953,085		Atkins	08/28/1990	
		4,903,201		Wagner	02/20/1990	
[Signature]		4,597,046		Musmanno et al	06/24/1986	
		4,376,978		Musmanno	03/15/1983	
		4,346,442		Musmanno	08/24/1982	
		3,697,693		Deschenes et al	10/10/1972	

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

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